



# UNITED STATES PATENT AND TRADEMARK OFFICE

MW

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/977,994	10/17/2001	Makoto Nagata	50006-128	4496

7590 11/19/2003  
MCDERMOTT, WILL & EMERY  
600 13th Street, N.W.  
WASHINGTON, DC 20005-3096

EXAMINER

WEST, JEFFREY R

ART UNIT PAPER NUMBER

2857

DATE MAILED: 11/19/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/977,994

Applicant(s)

NAGATA ET AL

Examiner

Jeffrey R. West

Art Unit

2857

NW

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 08 October 2003.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-12 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-12 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 17 October 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.

- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 11.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-6 and 8-12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nagata et al., "Measurements and Analyses of Substrate Noise Waveform in Mixed Signal IC Environment" in view of Nagata et al., "Physical Design Guides for Substrate Noise Reduction in CMOS Digital Circuits" (henceforth, "Nagata CMOS") presented in Orlando, FL, USA, May 21, 2000 through May 24, 2000 (See *Massachusetts Institute of Technology v. AB Fortia*, 774 F.2d 1104, 1109, 227 USPQ 428, 432 (Fed. Cir. 1985).

Nagata discloses a method for performing measurements and analyses of substrate noise waveform in mixed signal integrated circuit environment comprising representing the integrated circuit according to a distribution of switching operations of a plurality of logic gates and a time series of statically-charged parasitic capacitors connected between a source line and a ground line (page 577, column 1, paragraph 5 and Figure 7). Nagata then discloses generating an analysis module by coupling one end of the group of capacitors with a parasitic impedance of the source line, and connecting the other end of the group of capacitors with a parasitic impedance of the

ground line (Figure 7). Nagata also discloses that the source current from the analysis model along with the parasitic impedances of the source and ground lines causes a voltage variation, regarded as substrate noise (page 576, column 1, paragraph 3, page 577, column 1, paragraph 4, and Figure 5). Nagata further discloses that a value for the parasitic capacitances is determined every predetermined time interval wherein the time interval is set according to the switching operations of the logic gates (page 577, column 2, paragraph 2 to page 578, column 2, paragraph 2). Also, although not specifically disclosed, it is considered inherent that the time interval is shorter as the frequency of the switching operations is greater since frequency and time have an inverse relationship.

With respect to claims 2 and 9, Nagata discloses assigning the group of parasitic capacitors to a group of logic gates wherein the digital circuit is divided into a plurality of segments along the border at which the parasitic impedances of the source line and the ground line are locally appended (i.e. increased) (Figures 7a-c and page 577, column 2, paragraph 2).

With respect to claims 5 and 12, Nagata discloses that the capacitance of the parasitic capacitor to be charged is calculated from input and output capacitance of the logic gates in the digital circuit to be analyzed (page 577, column 2, paragraph 2 and equation (2)) (input capacitances,  $C_{in,i}$  and  $C_{ip,j}$ , and output capacitances  $C_{jn,i}$  and  $C_{jp,j}$ ).

Nagata, however, presents the voltage variation as a measure of noise and doesn't specifically disclose determining the waveform of the source current in the

digital circuit from the analysis model or specifying that the parasitic capacitors be a time-series group of parasitic capacitors to be charged at a specific timing.

Nagata CMOS teaches substrate noise injection in large-scale CMOS logic integrated circuits through experimentation of a noise source circuit (page 543, column 1, lines 39-42) by generating an analysis model comprising a time division group of parasitic capacitors to be charged at a specific timing (page 544, column 1, lines 12-25 and column 2, lines 13-15) and determining the waveform of the source current from the analysis model (page 544, column 2, lines 16-24).

It would have been obvious to one having ordinary skill in the art to modify the invention of Nagata to include determining the waveform of the source current in the digital circuit from the analysis model and specifying that the parasitic capacitors be a time-series group of parasitic capacitors to be charged at a specific timing, as taught by Nagata CMOS, because, as suggested by Nagata CMOS, the combination would have provided a method for expanding the analysis model to practical CMOS logic operation (page 544, column 1, lines 7-11) using a more efficient way to estimate the supply current for simulating the substrate noise injection (page 544, column 2, lines 13-17) in order to obtain accurate experimental results (page 545, column 1, lines 5-9).

Further, Applicant admits as well known in the art, in the Background of the Invention, that "the principal cause of substrate noise generation is a change in voltage generated when the source current of the digital circuits flowing through internal power-supply and ground wirings, which connect the external power supply

to the LSI chip, interacts with the parasitic impedances parasitic on those wirings” (page 2, lines 15-20) and “As clearly understood, the generation of noises largely depends on a change in the source current” (page 3, lines 1-2). *When applicant states that something is prior art, it is taken as being available as prior art against the claims. Admitted prior art can be used in obviousness rejections. In re Nomiya, 509 F.2d 566, 184 USPQ 607, 610 (CCPA 1975).*

3. Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over Nagata et al. in view of Nagata CMOS and further in view of Mitra et al., “Substrate-Aware Mixed Signal Macrocell Placement in WRIGHT”.

As noted above, the invention of Nagata and Nagata CMOS teaches many of the features of the claimed invention including comparing analysis results using different guardband configurations (Nagata CMOS, page 546, column 1, lines 8-16) but does not specifically disclose the method for designing the semiconductor integrated circuit comprising receiving the design information, designing analog and digital circuit according to the design specifications, and re-designing the analog and digital circuits or their layout and the location of guard bands by reviewing the result of substrate noise analysis.

Mitra teaches a computer-implemented method for handling substrate-coupled switching noise in a typical IC containing both sensitive analog and noisy digital circuits (abstract) comprising first receiving minimal area and wire length design specifications, designing the circuits based on the design specifications, and from

Art Unit: 2857

the design determining the current substrate noise. Mitra then teaches re-designing, based on the substrate noise results, the circuits and guard ring/band positions to obtain acceptable substrate noise results (page 275, column 2, paragraph 3 to page 276, column 1, paragraph 3).

It would have been obvious to one having ordinary skill in the art to modify the invention of Nagata and Nagata CMOS to include a method for designing the semiconductor integrated circuit comprising receiving the design information, designing analog and digital circuit according to the design specifications, and re-designing the analog and digital circuits or their layout and the location of guard bands by reviewing the result of substrate noise analysis, as taught by Mitra, because, as suggested by Mitra, the combination would have provided a method for incorporating a simplified switching noise estimation into a simulated annealing placement algorithm to allow substrate design that can be used during the design wherein efficient evaluation is critical, but much information about the final chip remains unavailable (page 277, column 1).

### ***Response to Arguments***

4. Applicant's arguments with respect to claims 1-12 have been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***

5. The prior art made of record and not relied upon is considered pertinent to

Art Unit: 2857

Applicant's disclosure:

U.S. Patent No. 6,144,217 to Iwata et al. teaches a low switching noise logic circuit including a plurality of capacitors constructed as time-division groups.

U.S. Patent No. 4,366,456 to Ueno et al. teaches a switched-capacitor filter including a plurality of capacitors constructed as time-division groups.

Shimazaki et al., "LEMINGS: LSI's EMI-Noise Analysis with Gate Level Simulator" teaches a method for noise analysis comprising determining the noise of an integrated circuit by creating a gate-level simulation of the integrated circuit (2.1) and from it determining an estimate current waveform for noise analysis (2.3).

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jeffrey R. West whose telephone number is (703)308-1309. The examiner can normally be reached on Monday through Friday, 8:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Marc S. Hoff can be reached on (703)308-1677. The fax phone numbers for the organization where this application or proceeding is assigned are (703)308-7382 for regular communications and (703)308-7382 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)308-0956.



Art Unit: 2857

jrw  
November 12, 2003



MARC S. HOFF  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2800